Vulnerabilities of McEliece in the World of Escher

Ray Perlner, Dustin Moody National Institute of Standards and Technology Gaithersburg, Maryland, USA

Ray.Perlner@NIST.gov; Dustin.Moody@NIST.gov

Outline

- "McEliece in the World of Escher" [Gligoroski, Samardjiska, Jacobsen, Bezzateev 2014]
 - A McEliece variant promising encryption and signatures
 - New ideas:
 - Error sets
 - List decoding
 - Encryption and signature schemes:
 - Private key
 - Decoding algorithm
- Major Results
 - ISD for the error vector (signature forgery)
 - ISD for the private key (key recovery for both encryption and signature)
- Countermeasures?

Commonalities with other McEliece Variants.

• Private key operation is a decoding problem

– Generator Matrix form:

 $mG_{pub} + e = c$ $sG_{pub} + e = H(m)$ - Parity Check Matrix form:

$$H_{pub}(c-e)^{T} = 0$$
 $H_{pub}(H(m)-e)^{T} = 0$

 Public Generator/Parity Check matrix is disguised Private Generator/Parity Check matrix

 $G_{pub} = SGP$ $H_{pub} = S'HP$

Escher McEliece Error Sets



- Standard Code-based crypto
 - Error vector is a biased sample from the 1-bit alphabet (0,1)
 - E.g. (00|10|11|00|00|10|00|01)
 - Mean: 0.33
 - 44% 00; 22% 01; 22% 10; 11% 11



- Error sets
 - Error set is an unbiased sample from a limited *l*-bit alphabet.
 E.g. (00,01,10)
 - E.g. (01|00|00|01|00|10|01|01)
 - Mean: 0.33
 - 33% 00; 33% 01; 33% 10; 0% 11

Error Set Density, ho

 For an error set of block size *l* bits, Gligoroski et al define the density as:

$$\rho_{\ell} = D(\ell) = |E_{\ell}|^{1/\ell}$$

- For example for the error set (00,01,10) $\rho_\ell = 3^{1/_2}$

Escher McEliece The Private key



Escher McEliece Decoding Encryption



- Divide Message as $x_1 | x_2 | \dots | x_w$, where x_i has length k_i
- Divide Ciphertext as $y_0|y_1|y_2| \dots |y_w$, where y_0 has length k and the other y_i have length n_i
- Divide y_0 as $y_0[1]|y_0[2]| \dots |y_0[w]|$, where $y_0[i]$ has length k_i
- Step 0: Compile a list of all the possible decodings of the first k_1 bits of y

$$x_1 = y_0[1] + e_0[1]$$

- Step $1 \le i \le w$: Update by checking consistency and (if necessary) extending the decoding. $(x_1| ... |x_i) \cdot B_i + y_i = e_i$ $x_{i+1} = v_0[i+1] + e_0[i+1]$
- Note the complexity of decoding is set by the list size at step 1: ρ^{k_1} , so k_1 can't be too big.

Escher McEliece Decoding Signatures



- Divide Message as $x_1 | x_2 | \dots | x_w$, where x_i has length k_i
- Divide Ciphertext as $y_0|y_1|y_2| \dots |y_w$, where y_0 has length k and the other y_i have length n_i
- Divide y_0 as $y_0[1]|y_0[2]| \dots |y_0[w]|$, where $y_0[i]$ has length k_i
- Step 0: Compile a list of **some of** the possible decodings of the first k_1 bits of y

$$x_1 = y_0[1] + e_0[1]$$

- Step $1 \le i \le w$: Update by checking consistency and (if necessary) extending the decoding. $(x_1| ... |x_i) \cdot B_i + y_i = e_i$ $x_{i+1} = y_0[i+1] + e_0[i+1]$
- Note the complexity of decoding is set by the list size at step w. Needs to be at least $\left(\frac{2}{\rho}\right)^{n_w}$ to survive consistency checks. Thus n_w can't be too big.

On to attacks!

Information set Decoding for Errors

- 1. Permute the columns of G_{pub} $G'_{pub} = G_{pub}P' = (A|B)$
- 2. Check that A is invertible
- 3. Guess the first *k* bits, *v*, of the permuted error vector *eP*. If so:

$$yP' = m(A|B) + eP' = (c|d) = (mA + v|D)$$

 $(c - v)A^{-1} = m$

4. Check the guess by computing the weight/pattern of: $y - (c - v)A^{-1}G_{pub}$

- If the guess fails, repeat.

Using ISD for Errors to Forge Signatures

- The efficiency of ISD depends on the probability of guessing k bits of the error vector of a valid signature
 - Note that there is not a *unique* valid signature for each message.
- For the error set (00, 01, 10)
 - We can guess a single bit (0), and the other bit is guaranteed to be valid.
 - By choosing the permutation such that all k information-set bits come from different blocks, we guarantee that 2k of the n bits form a valid error vector.
 - The probability the remaining n 2k bits are also in the error set is:

$$p = \left(\frac{\sqrt{3}}{2}\right)^{n-2k}$$

- Examples:
 - Code (650,306): Claimed security $2^{87.54}$; $p = 2^{-7.88}$
 - Code (1578,786): Claimed security $2^{137.11}$; $p = 2^{-1.25}$

Can this forgery be avoided?

- This attack can be avoided by
 - Only accepting signature error vectors with hamming weight $\sim n/3$.

• This only gets
$$p = \left(\frac{\sqrt{3}}{2}\right)^{n-1.5k}$$
 ... not enough.

- Increasing the ratio $\frac{n}{k}$
 - But this will enable/worsen other attacks. More later ...

Information Set Decoding for the Private Key.

- Information set decoding algorithms find *low weight* vectors in the row space of a matrix
- Can be applied to G_{pub} or H_{pub} .
- Note that G_{pub} and H_{pub} have the same row spaces as G and H up to a Permutation.

$$wt.(vG) = wt.(vGP) = wt(vS^{-1}SGP) = wt((vS^{-1})G_{pub})$$

$$wt.(vH) = wt.(vHP) = wt(vS'^{-1}S'HP) = wt((vS'^{-1})H_{pub})$$

Where are the low-weight targets?



Once we have low weight vectors, then what?

- The nonzero bits of low weight vectors all come from the same columns in the private matrix
 - Encryption
 - *H*: Columns 0, ..., $k_1 1$; k, ..., $k + n_1$
 - Signature
 - G: Columns 0, ..., $k_1 1$; $n n_w$, ..., n 1
- The nonzero bits of the low weight vectors will therefore come from the images of these columns under *P*
- So, we can simply find these columns and lop them off.
 - The result is a smaller matrix of the same form we started with.
 - Repeating the process is generally easier.



Removing The Columns





Generic information set decoding

1. Permute the columns of H_{pub} $H'_{pub} = H_{pub}P' = (A|B)$

2. Check that A is invertible

3. Left multiply by
$$A^{-1}$$
.
 $M = A^{-1}H'_{pub} = (I|Q)$

4. Check for low weight x' in rowspace of M

- Check weight of x' = vM.
- If low, return $x = vMP'^{-1}$.

Why does this work?

- *H*' = *HPP'*, *H*'_{pub}, *M* all have the same rowspace
- vM is the unique element of that rowspace with prefix v
- v is a guess for the first n-k bits (IS) of hPP'
 h is a low weight element of the rowspace of H
- Best guess: (almost) all zeroes
 All zeroes won't work, since 0M = 0

Optimization 1 Using a nonrandom P'

• The permutation *P* used to disguise *G* is non-random.

It needs to keep *l*-bit blocks of columns intact.

- The permutation P' used for ISD should be non-random in the same way.
 - Note that if one column in a block is in the set we want to remove, the rest are too.

Optimization 2:

Using rank to check if we're removing the right columns

• Low weight vectors don't all come from the correct rows:



- But, we can tell which is which pretty quickly.
 - Removing most of the highlighted columns at left will reduce the rank.
 - Removing the same number of columns highlighted on the right won't.
- And we can find the rest of the columns pretty easily too.
 - Just check if removing a column reduces the rank more.

Key Recovery Results

• We implemented the key recovery attack in SAGE on a laptop.

• We applied it to the 80 bit parameters for signature and encryption.

• Block structure was correctly recovered in less than 2 hours in both cases.

Can the scheme be saved?

- Encryption:
 - Change the error set: counterproductive
 - Key recovery can be avoided by increasing $\frac{n}{\nu}$
 - However, the keys get ~300 times bigger to achieve claimed security.
- Signature:
 - Change the error set: still counterproductive
 - Key recovery can be avoided by increasing $\frac{n}{n-k}$
 - But this worsens the previously discussed forgery attack.
 - Doesn't look like signature can be saved.

Conclusions

- We demonstrated two highly efficient attacks
 - Near trivial signature forgery.
 - Practical key recovery for both signature and encryption.
- It is possible the encryption scheme can be saved
 - Although at the cost of making an inefficient scheme several orders of magnitude worse.
 - Attack is only quasi-polynomially costlier than decryption.
- The signature scheme does not appear fixable

Thank You!